

# Tropical Algebras, Idempotent Analysis and System Theory

A Story of Continuous Mathematics in The Limit

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## OUTLINE

### 1 Prologue: Good Mathematics Over Semirings!



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- 2 Semirings: Rings and Dioids



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- 2 Semirings: Rings and Dioids
- 3 A Couple of Examples



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- 4 Tropical Algebra/Geometry



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- 6 A Nonlinear System Theory



[D. Hilbert, 1900]

“Mathematical science is in my opinion an indivisible whole, an organism whose vitality is conditioned upon the connection of its parts.”





# Prologue: Good Mathematics Over Semirings!



## Good Mathematics Over Semirings!

[J. von Neumann, *The Mathematician* 1947]

“As a mathematical discipline travels far from its empirical source, or still more, if it is a second and third generation only indirectly inspired from ideas coming from ‘reality’, it is beset with very grave dangers. ... But there is a grave danger that the subject will develop along the line of least resistance, that the stream, so far from its source, will separate into a multitude of insignificant branches, and that the discipline will become a disorganized mass of details and complexities.”



## Good Mathematics Over Semirings!

[T. Tao, *What is Good Mathematics* 2007]

“However, there is the remarkable phenomenon (ref. Wigner *unreasonable effectiveness of mathematics*) that good mathematics in one of the above senses tends to beget more good mathematics in many of the other senses as well, leading to the tentative conjecture that perhaps there is, after all, a universal notion of good quality mathematics, and all the specific metrics listed above represent different routes to uncover new mathematics, or different stages or aspects of the evolution of a mathematical story.”



## Good Mathematics Over Semirings!

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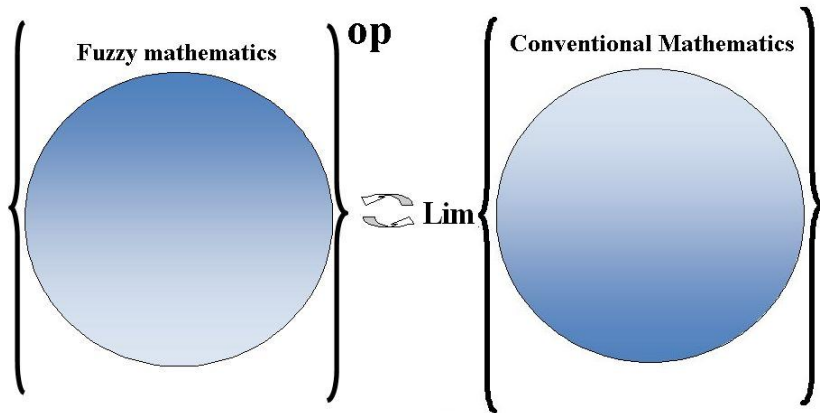


## Good Mathematics Over Semirings!

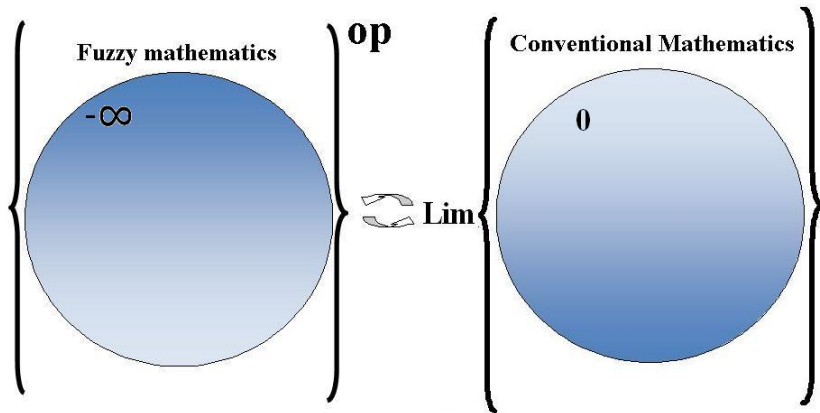
Fuzzy set theory	Lotfi AskarZadeh	1965,
	Joseph Goguen	1968/9
	Wolfgang Wechler	1983,
	Jonathan S, Golan	1999
Theory of Computation	Samuel Eilenberg	1974,
	Arto Salomaa	1978,
	Jan A. Bergstra, Jan W. Klop	1984,
Discrete Optimization	Raymond A. Cuninghame-Green	1979,
	Michel Gondran, Michel Minoux	1984,
	Alexander I. Barvinok	1993
Idempotent Analysis	Victor P. Maslov, Grigory L. Litvinov	1986,
Tropical Algebra/Geometry	Imre Simon	1988,
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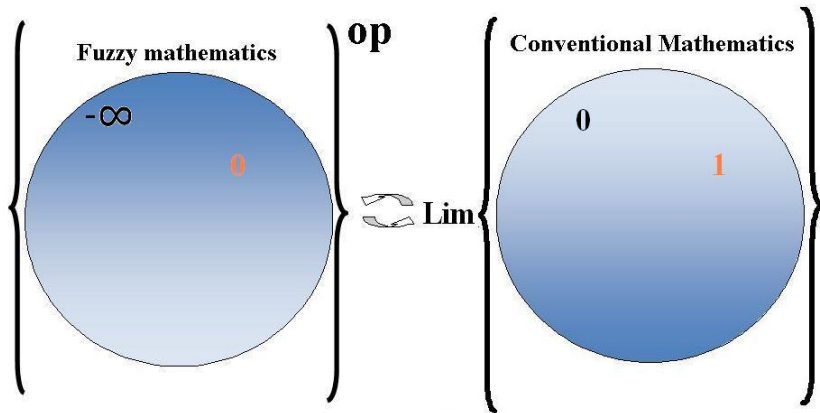
## The mysterious duality!



## The mysterious duality!

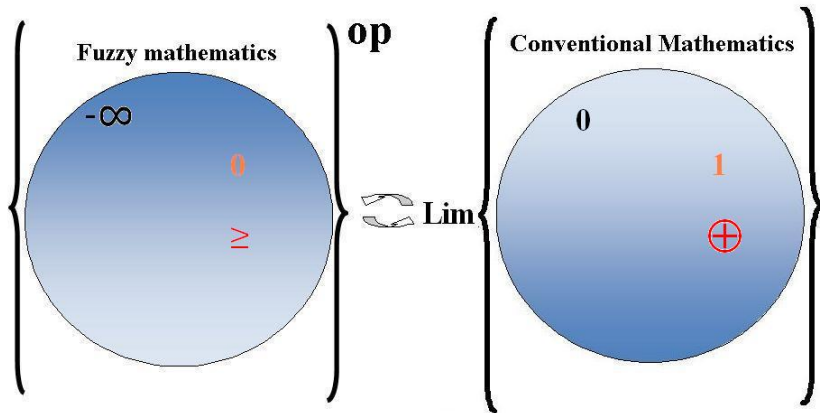


## The mysterious duality!

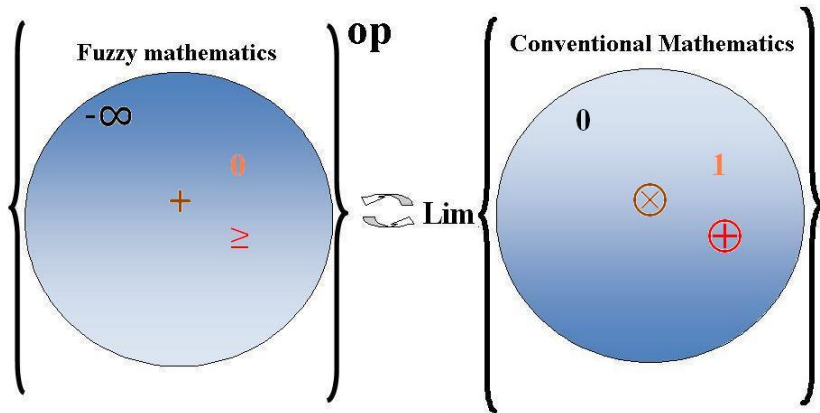




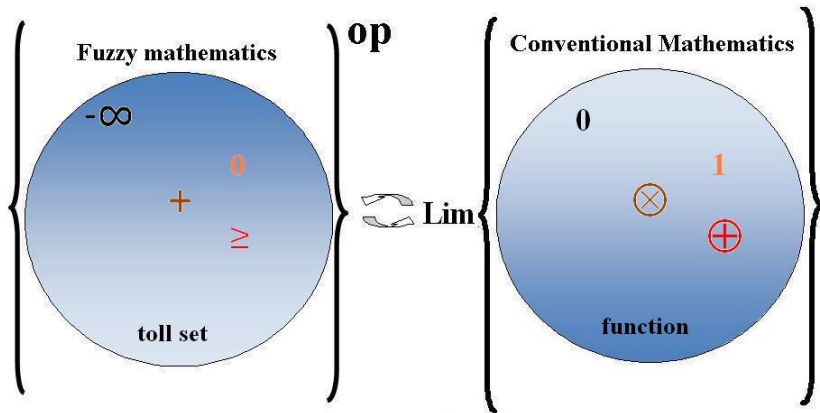
## The mysterious duality!



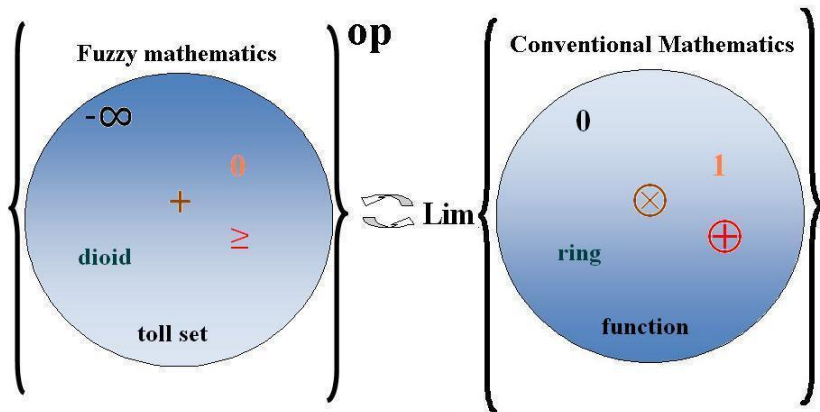
## The mysterious duality!



## The mysterious duality!



## The mysterious duality!



## Good Mathematics Over Semirings!

- Is there any **difference** between *mathematics over fields* and *mathematics over semirings*?

Let's talk about this!



# Semirings, Rings and Dioids



## Definition of a semiring

- A **semiring**  $(S, \oplus, \otimes, \mathbf{1}, \mathbf{0})$  is an algebraic structure which satisfies the following properties:
  - 1  $\oplus$  and  $\otimes$  are associative binary operations on  $S$ ,
  - 2  $(S, \oplus, \mathbf{0})$  is a commutative monoid with identity  $\mathbf{0}$ ,
  - 3  $(S, \otimes, \mathbf{1})$  is a monoid with identity  $\mathbf{1}$ .
  - 4 for all  $a, b, c \in S$  we have

$$a \otimes (b \oplus c) = (a \otimes b) \oplus (a \otimes c) \quad \text{and} \quad (b \oplus c) \otimes a = (b \otimes a) \oplus (c \otimes a).$$

- 5 for all  $a \in S$  we have  $a \otimes \mathbf{0} = \mathbf{0} \otimes a = \mathbf{0}$ .



## Subclasses of semirings

The class of semirings can be naturally subdivided into two **disjoint** sub-classes depending on whether the operation  $\oplus$  satisfies one of the following two properties:

- 1 The operation  $\oplus$  endows the set  $D$  with a group structure;
- 2 The operation  $\oplus$  endows the set  $D$  with a canonically ordered monoid structure.

### note

(1) and (2) cannot be satisfied simultaneously. In case (1), we are led to the well-known **Ring** structure, and in case (2) we are led to the **Diooid** structure.





## Definition of a dioid

- A **Dioid** is a quintuple  $(D, \vee, \otimes, \mathbf{1}, \mathbf{0})$  that satisfies the following conditions:
  - 1  $(D, \vee)$  is a commutative monoid with neutral element  $\mathbf{0}$ .
  - 2  $(D, \otimes)$  is a monoid with neutral element  $\mathbf{1}$ .
  - 3 for all  $d \in D$  we have  $d \otimes \mathbf{0} = \mathbf{0} \otimes d = \mathbf{0}$ .
  - 4  $\otimes$  is (right and left) distributive with respect to  $\vee$ .
  - 5  $\vee$  induces an order define as:
$$a \leq b \Leftrightarrow \exists c, b = a \vee c.$$

### note

A **dioid** is a semiring  $(D, \vee, \otimes, \mathbf{1}, \mathbf{0})$  in which the operation  $\vee$  induces a natural order structure.



## Idempotent dioids

### note

In general in a dioid  $(D, \vee, \otimes, \mathbf{1}, \mathbf{0})$  the canonical order is **compatible** with the operations  $\vee$  and  $\otimes$ .

A dioid is **idempotent** iff  $\forall a \in D, a \vee a = a$ .

Note that this implies the following fact,

$$a \leq b \Leftrightarrow \exists c, b = a \vee c \Leftrightarrow a = a \vee b.$$

### note

Sometimes this condition is added to the **definition of a dioid** as an axiom.



# A Couple of Motivating Examples



## Examples of dioids

### Ideals of a commutative ring

$$(\mathbb{N}, +, \times)$$

$$(\mathbb{N} \cup \{+\infty\}, \min, +)$$

$$(\mathbb{Z}, +, \times)$$

$$(\mathbb{N}, lcm, gcd)$$

$$\mathbb{R}_{\min} \stackrel{\text{def}}{=} (\mathbb{R} \cup \{+\infty\}, \min, +)$$

$$\mathbb{R}_{\max} \stackrel{\text{def}}{=} (\mathbb{R} \cup \{-\infty\}, \max, +)$$

$$\overline{\mathbb{R}} \stackrel{\text{def}}{=} (\mathbb{R} \cup \{-\infty\} \cup \{+\infty\}, \max, \min)$$

$$(\text{Reg}(\Sigma), \cup, \text{concat})$$

$$(2^{\mathbb{R}^2}, \cup, +)$$

$$A + B \stackrel{\text{def}}{=} \{y + z : y \in A, \& z \in B\}.$$

R. Dedekind 1894

H. S. Vandiver 1934

A dioid which is not idempotent

Tropical semiring

A ring but not a dioid.

A doubly idempotent dioid.

Min-plus (optimization) algebra

Max-plus algebra.

An idempotent dioid.

A dioid (used in Computer Science).

A dioid (used in Integral Geometry)

Has mathematics gone wild for a century?!



# Polynomials in $\mathbb{R}_{\min}[x, y] \stackrel{\text{def}}{=} (\mathbb{R} \cup \{+\infty\}, \oplus \stackrel{\text{def}}{=} \min, \otimes \stackrel{\text{def}}{=} +)[x, y]$

A monomial

$$ax^i y^j \stackrel{\text{def}}{=} a \otimes x \dots \otimes x \otimes y \dots \otimes y = a + ix + jy.$$

A polynomial

$$ax^2 + bxy + e = \min(a + 2x, b + x + y, e).$$

Neutrals

$$\infty + x = 0x = x.$$

Fact:

The tropical polynomials in  $n$  variables  $x_1, \dots, x_n$  are precisely the piecewise-linear concave functions on  $\mathbb{R}^n$  with integer coefficients.



## Polynomials in $\mathbb{R}_{\min}[x, y] \stackrel{\text{def}}{=} (\mathbb{R} \cup \{+\infty\}, \oplus \stackrel{\text{def}}{=} \min, \otimes \stackrel{\text{def}}{=} +)[x, y]$

Freshman's dream!

$$3 \min(x, y) = (x \oplus y)^3 = x^3 \oplus y^3 = \min(3x, 3y).$$

More surprises!

$$(x + 1)^2 = x^2 + 17x + 2 = x^2 + 1x + 2.$$

Fundamental theorem of algebra

- Every tropical **polynomial function** can be written uniquely as a tropical product of **tropical linear functions**.
- Unique factorization of **tropical polynomials** holds in one variable, but it no longer holds in two or more variables.



**Polynomials in  $\mathbb{R}_{\min}[x, y]$**   $\stackrel{\text{def}}{=} (\mathbb{R} \cup \{+\infty\}, \oplus \stackrel{\text{def}}{=} \min, \otimes \stackrel{\text{def}}{=} +)[x, y]$

### Cubic polynomials in one variable

Let  $b - a \leq c - b \leq d - c$ , then

$$ax^3 + bx^2 + cx + d = a(x + (b - a))(x + (c - b))(x + (d - c)).$$



## Matrix multiplication in $\mathbb{R}_{max}$

### Example

For a matrix  $A = \begin{pmatrix} 3 & 7 \\ 2 & 4 \end{pmatrix}$  in  $Mat_{n \times n}(\mathbb{R}_{max})$  we have

$$A^2 = \begin{pmatrix} \max(3+3, 7+2) & \max(3+7, 7+4) \\ \max(2+3, 4+2) & \max(2+7, 4+4) \end{pmatrix} = \begin{pmatrix} 9 & 11 \\ 6 & 9 \end{pmatrix}.$$

In linear algebra we have

$$A^2 = \begin{pmatrix} +(3 \times 3, 7 \times 2) & +(3 \times 7, 7 \times 4) \\ +(2 \times 3, 4 \times 2) & +(2 \times 7, 4 \times 4) \end{pmatrix} = \begin{pmatrix} 23 & 49 \\ 14 & 30 \end{pmatrix}.$$





## Matrix multiplication in $\mathbb{R}_{\min}$

### The shortest path problem

Let  $A \in \text{Mat}_{n \times n}(S)$  be the adjacency matrix of the weighted directed graph  $G$  on the vertex set  $\{v_1, v_2, \dots, v_n\}$ . Then the **shortest path problem** is equal to find the matrix  $D = [d_{ij}]$  such that  $d_{ij}$  is the minimum of the weights of all paths starting from  $v_i$  and ending at  $v_j$ .

Note that in this setting we have,

$$D = A^* = I + A + A^2 + \dots + A^k + \dots = A^{n-1}.$$

### Metrics

$A$  is said to be a **metric** if  $A^2 = A$ .

(Note that this is the triangle inequality!)



## Medians in $\overline{\mathbb{R}} \stackrel{\text{def}}{=} (\mathbb{R} \cup \{-\infty\} \cup \{+\infty\}, \max, \min)$

The median filter on  $\overline{\mathbb{R}}^{\mathbb{Z}_3}$

Let

$$\overline{\mathbb{R}}^{\mathbb{Z}_3} \ni A = \{(0, x_1), (1, x_2), (2, x_3)\},$$

and let  $M$  be the median filter acting as

$$M(A) = \{(0, m), (1, m), (2, m)\},$$

where  $m = \text{Median}(x_1, x_2, x_3)$ .

Note that  $m = \max(\min(x_1, x_2), \min(x_2, x_3), \min(x_3, x_1))$ , which is a fuzzification of the Boolean median expression  $x_1x_2 + x_2x_3 + x_3x_1$ .



# Tropical Algebra/Geometry



# Tropical Geometry

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## Tropical polynomials

Recall the definition of a tropical polynomial

$$\sum_{(i_1, \dots, i_m)} a_i x_1^{i_1} \dots x_m^{i_m} \stackrel{\text{def}}{=} \sum_i a_i \mathbf{x}^i.$$

### Example

- $x^3 + 2x^2 + 6x + 11 = \min(3x, 2 + 2x, 6 + x, 11).$
- $ax + by + c = \min(a + x, b + y, c).$

### Convention!

**Violet** means **Tropical**!



## Roots of a tropical polynomial

### Definition

- A root of a tropical polynomial  $F(\mathbf{x}) = \sum_i a_i \mathbf{x}^i$  is a point  $\mathbf{x}_0$  such that the minimum is attained in **at least two monomials**.
- The set of roots is denoted by  $V(F)$ .

### Example

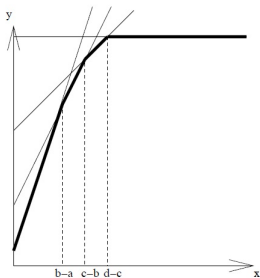
$$V(x^3 + 2x^2 + 6x + 11) = V(\min(3x, 2 + 2x, 6 + x, 11)) = \{2, 4, 5\}.$$



## Roots of a tropical polynomial: Example

Assume that  $b - a \leq c - b \leq d - c$ , then

$$ax^3 + bx^2 + cx + d = a(x + (b - a))(x + (c - b))(x + (d - c)).$$



Courtesy of D. Maclagan and B. Sturmfels 2013.



## Roots of a tropical polynomial

### Roots of an ordinary polynomial

What are the roots of  $x^2 - x + t = t^0x^2 - t^0x + t^1$ ?

They are,  $x_1 = \sum_{k=1}^{\infty} \frac{1}{k+1} \binom{2k}{k} t^k$ , and  $x_2 = 1 - x_1$ .

### Roots of the tropicalization

What are the roots of  $x^2 + x + 1 = \min(0 + 2x, 0 + x, 1)$ ?

They are  $x_1 = 1$ , and  $x_2 = 0$ .





## Field of Puiseux series, $P[t]$

Let  $\mathbb{K}$  be an algebraic closed field of characteristic 0 (e.g.  $\mathbb{C}$ ).

- 1 Elements:

$$p(t) = \sum_{\tau \in \mathbb{Q}_{\geq 0}, c_\tau \in \mathbb{K}} c_\tau t^\tau,$$

where summation is over well-ordered subsets of  $\mathbb{Q}$ .

- 2 The set of such series,  $P[t]$ , with natural operations is also an algebraic closed field.
- 3 What is the dominant term in  $p(t)$  when  $t \rightarrow 0$ ?



## Order valuations

The order valuation  $\nu$  on  $P[t]$  is defined as

$$\nu(p) \stackrel{\text{def}}{=} \min\{\tau \in \mathbb{Q}_{\geq 0} : c_\tau \neq 0\}.$$

Note that,

- 1  $\nu(pq) = \nu(p) + \nu(q)$ .
- 2  $\nu(p + q) \geq \min(\nu(p), \nu(q))$ .
- 3 Note that when  $t \rightarrow 0$  the dominant term in  $p(t)$  is  $c_{\nu(p(t))} t^{\nu(p(t))}$ .



## Kapranov, Mikhalkin, Rullgård

### Kapranov, Mikhalkin, Rullgård

Given an ordinary polynomial  $F(\mathbf{x}) = \sum_{\mathbf{i}} a_{\mathbf{i}} \mathbf{x}^{\mathbf{i}}$  with  $a_{\mathbf{i}} \in P[t]$  and its tropicalization  $\tilde{F}(\mathbf{x}) = \sum_{\mathbf{i}} \nu(a_{\mathbf{i}}) \mathbf{x}^{\mathbf{i}}$ , then  $\nu(V(F)) = V(\tilde{F})$ .

$\nu$  as a limit process!

Note that  $\nu(a) = \lim_{t \rightarrow 0^+} \frac{\log |a(t)|}{\log t} = \lim_{t \rightarrow 0^+} \log_t |a(t)|$ .



## Example

### Valuation of the roots

What are the roots of  $x^2 - x + t = t^0 x^2 - t^0 x + t^1$ ?

They are,  $\nu(x_1) = \nu\left(\sum_{k=1}^{\infty} \frac{1}{k+1} \binom{2k}{k} t^k\right) = 1$ , and

$\nu(x_2) = \nu(1 - x_1) = 0$ .

### Roots of the tropicalization

What are the roots of  $x^2 + x + 1 = \min(0 + 2x, 0 + x, 1)$ ?

They are  $x_1 = 1$ , and  $x_2 = 0$ .



# Amoebas

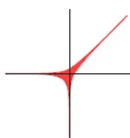
## The Log map

$$\log_t : (\mathbb{C}^*)^2 \rightarrow \mathbb{R}^2, \quad -\log_t(x, y) = (-\log_t |x|, -\log_t |y|).$$

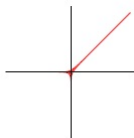
The amoebas  $-\log_t(V(x + y + 1))$ .



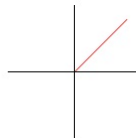
a)  $\text{Log}(\mathcal{L})$



b)  $\text{Log}_{t_1}(\mathcal{L})$



c)  $\text{Log}_{t_2}(\mathcal{L})$



d)  $\lim_{t \rightarrow \infty} \text{Log}_t(\mathcal{L})$

Courtesy of E. Brugallé 2013.

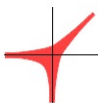


## Amoebas

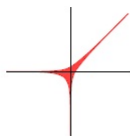
### Note

$$\nu(V(x + y - 1)) = \nu(V(t^0x + t^0y + t^0)) = V(x + y + 0).$$

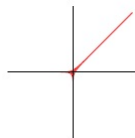
The amoebas  $\log_t(V(x + y + 0))$ .



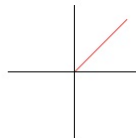
a)  $\text{Log}(\mathcal{L})$



b)  $\text{Log}_{t_1}(\mathcal{L})$



c)  $\text{Log}_{t_2}(\mathcal{L})$



d)  $\lim_{t \rightarrow \infty} \text{Log}_t(\mathcal{L})$

**There is more!**

For more on this see Bergman, Maslov, Mikhalkin, Passare and Rullgård, contributions on deformations and dequantization.



## Why tropical algebra/geometry?

- 1 There is a nice geometry (e.g. a nice intersection theory).
- 2 Mikhalkin's approach to compute Gromov-Witten invariants through counting tropical curves. Also, Gathmann and Markwig's tropical/combinatorial proof of Kontsevich recursive formula for this.
- 3 Multivalued algebra and Viro's tropical groups.
- 4 Effective algorithms in tropical settings to compute important applied and theoretical problems (e.g. discriminants and geometry of polytops and varieties).

Tropical algebra/geometry is algebraic geometry in the limit!

This is our first example of this limit process!

We talk more about this!



# Idempotent Analysis, Dequantization and Correspondence Principle





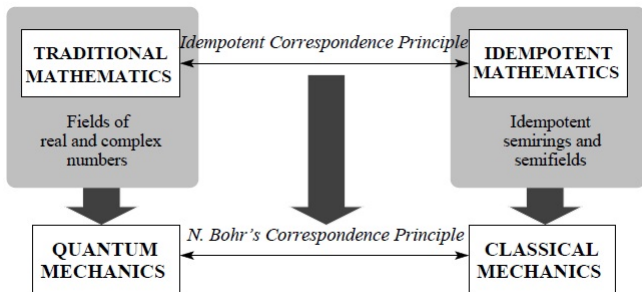
## Dequantization and Correspondence Principle

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## Correspondence principle



Litvinov's formulation for the limit process.

Let's delve into more details!



## Maslov's dequantization

### Deformation of $\mathbb{R}$

Consider  $(\mathbb{R}^+, +, \times)$  and let  $h$  be a positive (i.e. Planck's) constant. Then define  $(\mathbb{R}_h, \oplus_h, \otimes_h)$  to be the image of the following map for which the operations  $\oplus_h$  and  $\otimes_h$  are defined in such a way that make it a natural map, i.e.,

$$\pi_h : \mathbb{R}^+ \rightarrow \mathbb{R}_h \quad u \mapsto w = \pi_h(u) \stackrel{\text{def}}{=} h \ln u,$$

where

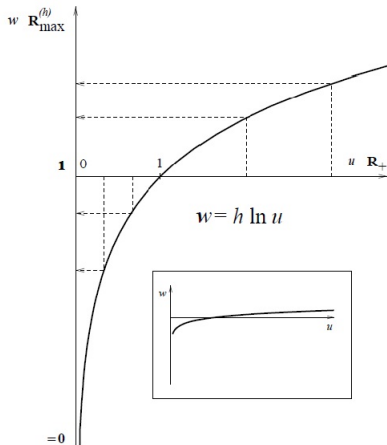
$$x \oplus_h y \stackrel{\text{def}}{=} h \ln(e^{\frac{x}{h}} + e^{\frac{y}{h}}),$$

and

$$x \otimes_h y \stackrel{\text{def}}{=} h \ln(e^{\frac{x}{h}} \times e^{\frac{y}{h}}) = x + y.$$



## Maslov's dequantization map



Courtesy of Litvinov 2010.



## Maslov's dequantization

### Identities

Note that when  $h \rightarrow 0^+$  then  $\mathbb{R}_h \rightarrow \mathbb{R}_{\max} \stackrel{\text{def}}{=} (\mathbb{R} \cup \{-\infty\}, \max, +)$ .  
Clearly in this setting,

$$\pi(1) = 0 \quad \text{and} \quad \pi(0) = -\infty.$$

### Aboebas

Note that a change of variable  $h \rightarrow \frac{1}{\ln t}$  changes the map  $\pi$  to  $\pi_t(u) = \nu(u) = \log_t u$  which is the **amoebas map**.

Hence,  $\mathbb{R}^+$  can be thought of as a quantization of  $\mathbb{R}_{\max}$  !



## Dynamics in the limit!

What happens if we dequantize classical dynamics?

Let's try to find the image in the limit under Maslov's dequantization.



## Dequantization of the heat equation

Consider the heat equation and apply the transform  $w = h \ln u$ ,

$$\frac{\partial u}{\partial t} = \frac{h}{2} \frac{\partial^2 u}{\partial x^2} \mapsto \frac{\partial w}{\partial t} - \frac{1}{2} \left( \frac{\partial w}{\partial x} \right)^2 - \frac{h}{2} \frac{\partial^2 w}{\partial x^2} = 0,$$

and pass to the limit  $h \rightarrow 0^+$  to get,

$$\mapsto \frac{\partial w}{\partial t} = \frac{1}{2} \left( \frac{\partial w}{\partial x} \right)^2,$$

which is (a special case) of the Hamilton-Jacobi-Bellman equation, with **linear properties for solutions in  $\mathbb{R}_{\min}$** .



## The Brownian decision process I

Again consider the discrete time decision (**control**) process,

$$x(0) = x_0, \quad x(t+h) = x(t) - u(t),$$

along with the cost function

$$\min_u \left( \Phi(x(T)) + \sum_{i=0}^{T/h-1} \frac{u(ih)^2}{2h} \right).$$

This can also be solved through the dynamic programming equation

$$v(t, x) = \min_u \left( \frac{u^2}{2h} + v(t+h, x-u) \right), \quad v(T, \cdot) = \Phi.$$





## The Brownian decision process II

Use the change of control  $u = hw$  in the dynamic programming equation and let  $h \mapsto 0$  to get

$$\frac{\partial v}{\partial t} + \min_w \left( -w \frac{\partial v}{\partial x} + \frac{w^2}{2} \right) = 0, \quad v(T, \cdot) = \Phi.$$

i.e. equivalent to the Hamilton-Jacobi-Bellman equation,

$$\frac{\partial v}{\partial t} = \frac{1}{2} \left( \frac{\partial v}{\partial x} \right)^2, \quad v(T, \cdot) = \Phi.$$

### note

In this sense the theory of **Brownian decision processes** is a **discretized dequantizations** of the theory of **heat equations**.



## Dynamic programming I

Consider a very simple discrete decision (**control**) process,

$$x(0) = x_0, \quad x(n+1) = x(n) - u(n),$$

along with the cost function

$$\text{Cost}(N) = \min_{u(0), u(1), \dots, u(N-1)} \left( \varphi(x(N)) + \sum_{i=0}^{N-1} c(u(i)) \right).$$

note

Functions  $c$  and  $\varphi$  satisfy typical conditions as being **convex**, **lower-semicontinuous**, and **zero at their minimum**, etc.



## Inf-convolution of quadratic forms

### Definition

The inf-convolution  $f \boxtimes g$  is defined as  $(f \boxtimes g)(z) \mapsto \inf_{x+y=z} f(x) + g(y)$ .

Example: For any  $m \in \mathbb{R}$  and  $\sigma \in \mathbb{R}^+$  define

$$Q_{m,\sigma}(x) \stackrel{\text{def}}{=} \frac{1}{2} \left( \frac{x-m}{\sigma} \right)^2 \quad \text{for } \sigma \neq 0,$$

$$Q_{m,0}(x) \stackrel{\text{def}}{=} \delta_m(x) \stackrel{\text{def}}{=} \begin{cases} 0 & x = m \\ +\infty & \text{otherwise.} \end{cases}$$

Then,

$$(Q_{m_1,\sigma_1} \boxtimes Q_{m_2,\sigma_2})(z) = Q_{m_1+m_2,\sqrt{\sigma_1+\sigma_2}}(z).$$



## Dynamic programming II

Define

$$v(n, x) \stackrel{\text{def}}{=} \min_{u(n), u(1), \dots, u(N-1)} \left( \varphi(x(N)) + \sum_{i=n}^{N-1} c(u(i)) \mid x(n) = x \right), \text{ and}$$

note that it satisfies the dynamic programming equation

$$v(n, x) = \min_u (c(u) + v(n+1, x-u)), \quad v(N, x) = \varphi(x).$$

This equation can be written as follows using inf-convolution  $\boxtimes$ ,

$$v(n, \cdot) = c \boxtimes v(n+1, \cdot), \quad v(N, \cdot) = \varphi.$$

note

Hence, the solution is  $v(0, \cdot) = c^N \boxtimes \varphi$ .



## Discrete dynamics in the limit!

Hence dynamics in the discrete case is related to computing iterations  
(i.e. powers) of an operator.

Let's concentrate on this in the dequantized world mimicing linear  
operators!



## Why mimicing Linear algebra in $\mathbb{R}_{max}$

A large number of results of conventional linear algebra can be extended to  $\mathbb{R}_{max}$ , and **even to matrices over an arbitrary semiring**, as

- The Cayley-Hamilton theorem.
- Determinants
- Polynomial functions
- Formal series



## Semirings and graphs

Let  $S$  be a **semiring** and  $A \in \text{Mat}_{n \times n}(S)$  be a matrix with entries in  $S$ . Then one may naturally identify  $A$  with a **weighted directed graph** structure, on  $n$  vertices, where there is a directed edge from the vertex  $u$  to the vertex  $v$  of weight  $\epsilon \neq a_{uv} \in S$  if and only if this value is the entry in the row  $u$  and the column  $v$  of the matrix  $A$ .

### note

Standard notions as **paths, cycles and their weights** are defined naturally.



## The shortest path problem

### definition

For any matrix  $A$  in  $(D, \oplus, \otimes)$  we define  $A^*$  as follow

$$A^* \stackrel{\text{def}}{=} I \oplus A \oplus A^2 \oplus \dots \oplus A^k \oplus \dots$$

### Questions

When does this limit  $A^*$  **exists**?

How can we **compute** it?





## The shortest path problem

Let  $S = \mathbb{R}_{\min} \stackrel{\text{def}}{=} (\mathbb{R} \cup \{+\infty\}, \wedge, +)$  and  $A \in \text{Mat}_{n \times n}(S)$  be the adjacency matrix of the weighted directed graph  $G$  on the vertex set  $\{v_1, v_2, \dots, v_n\}$ . Then the **shortest path problem** is equal to find the matrix  $D = [d_{ij}]$  such that  $d_{ij}$  is the minimum of the weights of all paths starting from  $v_i$  and ending at  $v_j$ . Note that in this setting we have,

$$D = A^* = I \wedge A \wedge A^2 \wedge \dots \wedge A^k \wedge \dots$$

### Questions

When does this limit  $A^*$  **exists**?

How can we **compute** it?



## A simple optimization problem

Let  $S = \mathbb{R}_{max} \stackrel{\text{def}}{=} (\mathbb{R} \cup \{-\infty\}, \vee, +)$  and  $A \in \text{Mat}_{n \times n}(S)$  be the adjacency matrix of the weighted directed graph  $G$  on the vertex set  $\{v_1, v_2, \dots, v_n\}$ . Interpret  $a_{ij}$  as the **profit** of going from  $v_i$  to  $v_j$  and let  $f_i \in \mathbb{R}$  be a **terminal prize** of ending at vertex  $v_i$ . Therefore, the solution of maximizing the income after  $k$  steps is equal to  $D_k = A^k f$ . Also, an overall maximizing solution is

$$D = A^* f.$$

### Questions

When does this limit  $A^* f$  **exists**?

How can we **compute** it?



## Simple equations in $\mathbb{R}_{max}$

Let  $\mathbb{R}_{max} \stackrel{\text{def}}{=} (\mathbb{R} \cup \{-\infty\}, \vee, +)$  and  $A \in Mat_{n \times n}(\mathbb{R}_{max})$  be the adjacency matrix of the weighted directed graph  $G$ . Then, If there are **only circuits of nonpositive weight** in  $G$ , there is a solution to  $x = Ax \vee b$  which is given by  $x = A^*b$ . Moreover, if the **circuit weights are negative**, the solution is **unique**.

note

Hint:  $A(A^*b) \vee b = (e \vee AA^*)b = A^*b$ .



## Simple discrete dynamics in $\mathbb{R}_{max}$

Let  $A \in Mat_{n \times n}(\mathbb{R}_{max})$  and consider the dynamics

$$x(n+1) = Ax(n), \quad x(0) = x_0,$$

whose solution is  $x(n) = A^n x(0)$ . Naturally, part of the analysis depend on the existence of **eigenvalues and eigenfunctions** for  $A$ , i.e. the existence of  $\lambda$  and  $f$  such that  $Af = \lambda f$ .



## Eigenvalues and eigenvectors in $\mathbb{R}_{max}$

If  $A \in Mat_{n \times n}(\mathbb{R}_{max})$  is irreducible, or equivalently if the corresponding graph  $G$  is strongly connected, there exists one and only one eigenvalue (but possibly several eigenvectors). This eigenvalue is equal to the maximum cycle mean of the graph  $G$ , i.e.

$$\lambda = \max_c \frac{|c|_w}{|c|_1},$$

where  $c$  ranges over cycles of the graph  $G$ .



## Eigenvalues and eigenvectors (examples)

### Example

Eigenfunctions are not unique.

$e = 0, \epsilon = -\infty.$

$$\begin{pmatrix} 1 & e \\ e & 1 \end{pmatrix} \begin{pmatrix} e \\ -1 \end{pmatrix} = \begin{pmatrix} 1 \\ e \end{pmatrix} = 1 \begin{pmatrix} e \\ -1 \end{pmatrix}$$

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## Eigenvalues and eigenvectors (examples)

### Example

**Eigenvalues are not unique.**

$e = 0, \epsilon = -\infty.$

$$\begin{pmatrix} 1 & \epsilon \\ \epsilon & 2 \end{pmatrix} \begin{pmatrix} e \\ \epsilon \end{pmatrix} = 1 \begin{pmatrix} e \\ \epsilon \end{pmatrix}$$

$$\begin{pmatrix} 1 & \epsilon \\ \epsilon & 2 \end{pmatrix} \begin{pmatrix} \epsilon \\ e \end{pmatrix} = 2 \begin{pmatrix} \epsilon \\ e \end{pmatrix}$$



## Why idempotent analysis?

- 1 There is a nice functional analysis and operator theory (giving rise to a nonlinear system theory!).
- 2 A lot of linear algebra can be extended to this setting.
- 3 Again provides a nice example for the fact that there is a rich limit theory in which much of the facts reflects!

**What are the properties of this new mathematics in the limit?!**





# A Nonlinear System Theory



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## Motivations

In this part we present an input/output system theory based on **semigroups (actually dioids)** in which the emphasis is on the role of **signals as (fuzzy/toll) sets** rather than functions. Hence, this is an example of a system theory based on the set-based approach.

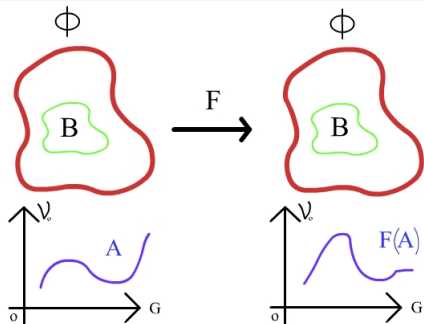
### Remark

It is interesting if one can show that **there exists a setup in which this is a limit theory of a classical case** (e.g the theory of linear shift-invariant systems).

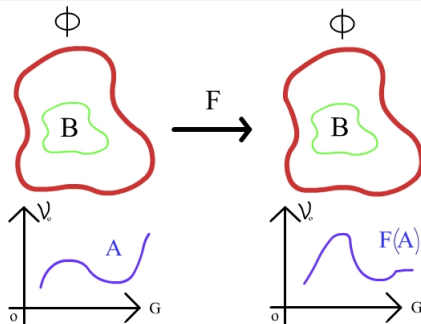
**We will talk more about this!**



## BASIC IDEAS FOR AN I/O SYSTEM THEORY



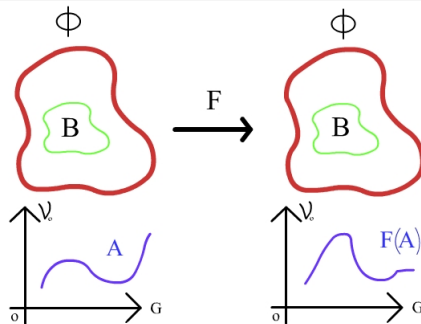
## BASIC IDEAS FOR AN I/O SYSTEM THEORY



- $\Phi$  is a **function space** with some nice algebraic and topologic properties (usually **completeness conditions**).



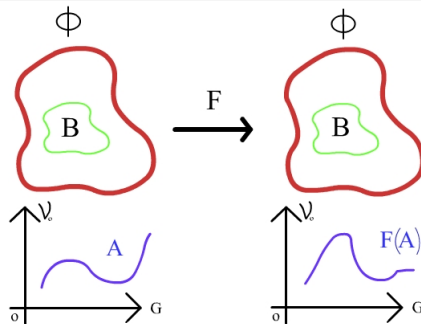
## BASIC IDEAS FOR AN I/O SYSTEM THEORY



- Specially  $\Phi$  is **reconstructable**, i.e. can be reconstructed properly by a (relatively small) subspace  $B$  of its **generic** objects.



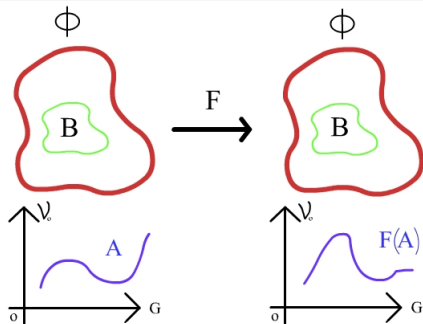
## BASIC IDEAS FOR AN I/O SYSTEM THEORY



- Usually  $\Phi$  is chosen to be a product space  $G \times \mathcal{V}_0$ .  
 e.g. SPEACH:  $G = R$  is the time and  $\mathcal{V}_0 = R$  is the space of levels.  
 e.g. IMAGE:  $G = Z^2$  is the two dimensional space  $\mathcal{V}_0 = Z$  is the space of gray-scales.



## BASIC IDEAS FOR AN I/O SYSTEM THEORY

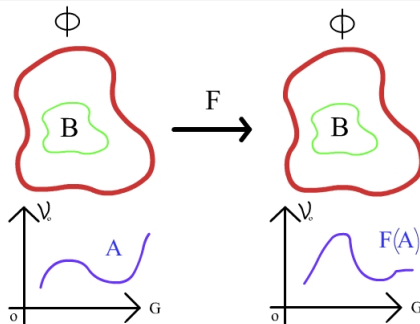


- $F$  is a **natural map** (i.e compatible with the structure), with nice **representation** properties.





## BASIC IDEAS FOR AN I/O SYSTEM THEORY



- The whole **setup** should be in coherence with natural phenomena and be able to simulate **input-output** behaviour of such systems (this is called **I/O system theory**).



## A CLASSICAL EXAMPLE: LSI SYSTEMS (OPERATORS)

- $\Phi$  is a Hilbert space (or some nice Sobolev space).



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- $\Phi$  is a Hilbert space (or some nice Sobolev space).
- $F$  is **linear** and **shift invariant**, i.e

$$F(A + \alpha B) = F(A) + \alpha F(B),$$

$$F(T_g(A)) = T_g(F(A)),$$

where  $T_g$  is the **translation-by- $g$  operator**, i.e.

$$T_g(A)(t) = A(t - g).$$



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where  $T_g$  is the **translation-by- $g$  operator**, i.e.

$$T_g(A)(t) = A(t - g).$$

- We have the following **reconstruction**:

$$F(A)(t) = \text{Conv}(A, \delta_F) = \int_{-\infty}^{+\infty} \delta_F(\tau) A(t - \tau) d\tau.$$



## LSI SYSTEMS (EXAMPLE)

Consider the discrete system

$$T(f)(n) \stackrel{\text{def}}{=} \frac{1}{3}(f(n) + f(n-1) + f(n-2))$$

as a smoother (low-pass filter) on discrete signals  $f : \mathbf{Z} \rightarrow \mathbf{R}$ . The first important observation is that  $T$  is an LSI system and its impulse response  $h$  is the following:

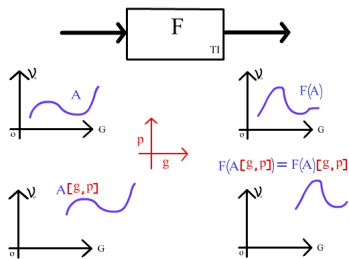
$$h(n) \stackrel{\text{def}}{=} \begin{cases} \frac{1}{3} & n = 0, 1, 2 \\ 0 & n \neq 0, 1, 2. \end{cases}$$

Therefore,  $T$  can be expressed as the convolution

$$T(f)(n) = \sum_{m=0}^{\infty} f(n-m)h(m) = \frac{1}{3}(f(n) + f(n-1) + f(n-2)).$$



## TRANSLATION INVARIANCE

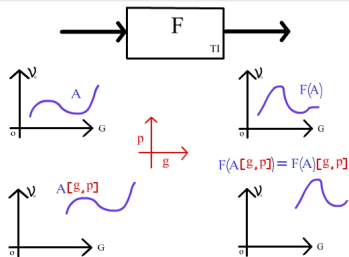


- Consider

$$A = \{(t, A(t)) \mid t \in G\}.$$



## TRANSLATION INVARIANCE



- Then we define the **translation operator** as follows,

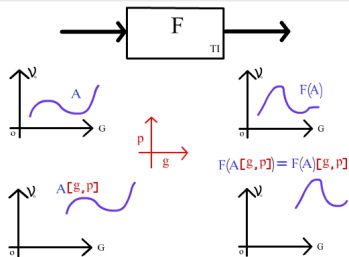
$$A[g, p] = \{(t + g, A(t) * p) \mid t \in G\},$$

which means,

$$A[g, p](t) = A(t - g) * p.$$



## TRANSLATION INVARIANCE



- An operator  $F$  is **translation invariant** if

$$F(A[g, p]) = F(A)[g, p].$$

Note: For an LSI operator, translation invariance on the range is equivalent to DC-gain 1.





## OPERATORS V.S. COMPARISON

- The set  $A = \{(t, A(t)) \mid t \in G\} \subseteq G \times \mathcal{V}_0$  can be considered as a **function** or a **fuzzy set**.



## OPERATORS V.S. COMPARISON

- The set  $A = \{(t, A(t)) \mid t \in G\} \subseteq G \times \mathcal{V}_0$  can be considered as a **function** or a **fuzzy set**.
- The **difference** between the two points of view is in the way we look at the **range**  $\mathcal{V}_0$ .



## OPERATORS V.S. COMPARISON

- The set  $A = \{(t, A(t)) \mid t \in G\} \subseteq G \times \mathcal{V}_0$  can be considered as a **function** or a **fuzzy set**.
- The **difference** between the two points of view is in the way we look at the **range**  $\mathcal{V}_0$ .
- The **functional approach** is when we consider **algebraic properties** and the **fuzzy set approach** is when we consider the **comparative structure** (order structure) of  $\mathcal{V}_0$ .  
e.g. The neutral element for summation is 0, while the neutral element for the supremum is  $-\infty$ .



## MINKOWSKI ADDITION AND SUBTRACTION

- Let  $(G, +, -, 0)$  be a **group** and  $\mathcal{V}_0 = (\Omega, \leq, *, \div, 0) \cup \{-\infty, +\infty\}$  be a **lattice ordered residuated semigroup** with the universal bounds  $-\infty$  and  $+\infty$  such that,

$$\div(-\infty) = +\infty, \quad \div(+\infty) = -\infty,$$

$$(-\infty) * (+\infty) = (+\infty) * (-\infty) = (+\infty) * (+\infty) = (+\infty)$$

$$(-\infty) * (-\infty) = (-\infty),$$

$$\forall p \in \Omega \quad (+\infty) * p = p * (+\infty) = +\infty,$$

$$\forall p \in \Omega \quad (-\infty) * p = p * (-\infty) = -\infty.$$



## MINKOWSKI ADDITION AND SUBTRACTION

- **Minkowski addition** and **subtraction** for **L-fuzzy sets** are defined as follows

$$A \oplus B = \sup_g A[g, B(g)] \quad , \quad A \ominus B = \inf_g A[g, \div B(g)].$$



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- e.g. Let

$$G = \langle a \mid 3a = 0 \rangle,$$

$$A = \{(0, x_1), (a, x_2), (2a, x_3)\}, B_1 = \{(0, 0), (a, 0), (2a, -\infty)\}.$$

Then,

$$A \oplus B_1 = \{(0, \sup(x_1, x_3)), (a, \sup(x_2, x_1)), (2a, \sup(x_3, x_2))\}.$$



## MINKOWSKI EROSION AS CONVOLUTION

- **Minkowski erosion** is defined as follows

$$Er(A, B) = A \ominus B^S.$$

where,

$$B^S = \{(-x, B(x)) \mid x \in G\}.$$



## MINKOWSKI EROSION AS CONVOLUTION

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where,

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- **Minkowski erosion** is **non-linear** and behaves as a **convolution operator**.





## THE KERNEL

- For a TI operator  $F$  the **kernel** is defined as follows

$$K(F) = \{A \mid 0 \leq F(A)(0)\}.$$



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- e.g. Let

$$G = \langle a \mid 3a = 0 \rangle,$$

$$A = \{(0, x_1), (a, x_2), (2a, x_3)\}, F(A) = \{(0, m), (a, m), (2a, m)\},$$

where  $m = \text{Median}(x_1, x_2, x_3)$ .

Then,

$$B(F) = \{ \{(0, 0), (a, 0), (2a, -\infty)\}, \{(0, -\infty), (a, 0), (2a, 0)\}, \\ \{(0, 0), (a, -\infty), (2a, 0)\} \}$$



## THE RECONSTRUCTION THEOREM

- A TI operator  $F$  is **isotone** if

$$\forall A, B \quad A \leq B \Rightarrow F(A) \leq F(B).$$



## THE RECONSTRUCTION THEOREM

- A TI operator  $F$  is **isotone** if

$$\forall A, B \quad A \leq B \Rightarrow F(A) \leq F(B).$$

- **Strong Reconstruction Theorem (D. 1995)**

Let  $F$  be an **isotone TI operator**. Then

$$F(A) = \sup_{D \in K(F)} Er(A, D);$$

and if the base of  $F$  exists then

$$F(A) = \sup_{B \in B(F)} Er(A, B).$$



## EXAMPLE 1: THE MEAN FILTER

Again consider the discrete system

$$T(f)(n) \stackrel{\text{def}}{=} \frac{1}{3}(f(n) + f(n-1) + f(n-2)).$$

One may note that  $T$  is an isotone TI system with the basis consisting of all maps  $h_{r,s}$ , ( $r, s \in \mathbf{R}$ ) defined as follows:

$$h_{r,s}(n) \stackrel{\text{def}}{=} \begin{cases} -r - s & n = -2 \\ s & n = -1 \\ r & n = 0 \\ -\infty & \text{otherwise.} \end{cases}$$

$$T(f)(n) = \sup_{r,s \in \mathbf{R}} Er(f, h_{r,s})(n) = \sup_{r,s \in \mathbf{R}} \inf_{m \in \mathbf{Z}} (f(n+m) - h_{r,s}(m))$$

$$= \sup_{r,s \in \mathbf{R}} \inf (f(n) - r, f(n-1) - s, f(n-2) + r + s).$$



## EXAMPLE 2: THE MEDIAN FILTER

- Let  $G = \langle a \mid 3a = 0 \rangle$ ,  
 $A = \{(0, x_1), (a, x_2), (2a, x_3)\}$ ,  $F(A) = \{(0, m), (a, m), (2a, m)\}$ ,  
where  $m = \text{Median}(x_1, x_2, x_3)$ .

Then,

$$B(F) = \{ \{(0, 0), (a, 0), (2a, -\infty)\}, \{(0, -\infty), (a, 0), (2a, 0)\}, \\ \{(0, 0), (a, -\infty), (2a, 0)\} \}$$



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 $\text{Median}(x_1, x_2, x_3) = F(A)(0)$   
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- This is a **fuzzification** of the Boolean expression  
 $x_1x_2 + x_2x_3 + x_3x_1.$



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  - **Convolutions** are essentially **generalized HOM-functors**.
  - **Our general setup** will cover both **fuzzy** and **functional** approach.





# Thank you!

Comments and Criticisms are Welcomed

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